

## Trading and Demat Account Modification Form

Sunlight Broking LLP

Mumbai Office: B50, Pravasi Estate, Goregaon Mulund Link Road, Goregaon East  
Mumbai 400063

Tel No: +91-22-62434343 Email: [support@prostocks.com](mailto:support@prostocks.com)

SEBI Regn. No.: INZ000048660 INDP2802016 |BSE Member code: 6635 |NSE Member code: 90084 |CDSL DP ID: 12083200

Trading Code		Date	D	D	M	M	Y	Y	Y	Y
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Please fill all the details in Block Letters in English

DP ID									Client ID						
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### Account Holder's Details

Name of First / Sole Holder	
Name of Second Holder	
Name of Third Holder	

I/We request you to register limited purpose DDPI to operate our Demat Account

I/We request you to make the following additions to my/our account in your records.

Details	Addition/ Modification	Existing Details	New Details
Addition of DDPI limited purpose	Addition	Nil	As per attached DDPI

Attach an Annexure (with signature(s)) if the space above is found insufficient.

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature			

..... (Please Tear Here) \_\_\_\_\_

### Acknowledgement Receipt

Received Account Details Addition/ Modification request as per details given below:

Application No.		Date	D	D	M	M	Y	Y	Y	Y
DP ID		Client ID								
Name of the Sole/ First Holder										
Name of Second joint Holder	NOT APPLICABLE									
Name of Third joint Holder	NOT APPLICABLE									
Modification requested for: [Specify reason]	Addition of DDPI									

**Depository Participant Seal and Signature**

**Demat Debit and Pledge Instruction – VOLUNTARY**

These additional clause(s)/ documentation(s) are voluntary and at the discretion of the stock broker/ trading member and the client. The same are required in order to ensure running on a day to day basis between the stock broker/ trading member and the client. The client need not execute this document if he / she does not wish to. The client has the right to terminate the document. Any such clause introduced shall stand null and void if they dilute the responsibility of the stock broker or is in conflict with any of the clauses in the mandatory documents, Rules, Bye-laws, Regulations, Notices, Guidelines and Circulars issued by SEBI and the stock exchanges from time to time.

To,

SUNLIGHT BROKING LLP

(As Per SEBI circular SEBI/HO/MIRSD/DoP/P/CIR/2022/44 dated 04-April-2022 and  
SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2022/137 dated 06-Oct-2022 as amended)

Name of the Sole / First older		Client Demat ID	UCC
S.No.	PURPOSE	<b>SignatureBO1</b>	
1.	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries/ settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stockbroker		
2.	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange		
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms		
4.	Tendering shares in open offers through Stock Exchange platforms		

Place:

Date:

**Annexure - Recipient account/s**

(As Per CDSL Communiqué ref. CDSL/OPS/DP/SYSTM/2022/332 dated 14-June-2022 as amended)

SUNLIGHT BROKING LLP – Demat Account details Please refer [www.prostocks.com](http://www.prostocks.com) for updated Demat Account Number

NSE POOL ACCOUNT	IN30278310010489	BSE POOL ACCOUNT	IN30278310010472
NSE POOL ACCOUNT	1208320000000039	BSE POOL ACCOUNT	1208320000000011
TM/CM CLIENT SECURITIES MARGIN PLADGE	1208320000076107	CUSPA ACCOUNTS	1208320000137064



# Stock Holding Corporation of India Limited

Registered office : 301, Center Point, Dr. Babasaheb Ambedkar Road, Parel, Mumbai – 400012

CIN : U67190MH1986PLC040506

Visit us at : [www.shcilestamp.com](http://www.shcilestamp.com)

VER 5.1 130315

## e-Stamping Application Form

Application Date	/ / 20	( Tick any one) <input type="checkbox"/> Registerable <input type="checkbox"/> Non-Registerable
Document Description	Article	Stamp Duty Amount ₹ 15/-

### Property Description (not exceeding 100 characters)

Property Description (not exceeding 100 characters)	
Consideration of Property	₹

### First Party Details (name not exceeding 50 characters)

Name	SUNLIGHT BROKING LLP																	
Address	B-50, PRAVASI INDUSTRIAL ESTATE, GOREGAON MULUND LINK ROAD, MUMBAI																	
Phone	022-62434343	PAN										Pin Code	4	0	0	0	6	3

### Second Party Details (name not exceeding 50 characters)

Name																		
Address																		
Phone		PAN										Pin Code						

### Stamp Duty Payment Details (name not exceeding 50 characters)

Stamp Duty Purchased by													
Stamp Duty Paid by ( Tick)	<input type="checkbox"/> 1st Party	<input type="checkbox"/> 2nd Party	Type of Payment	<input type="checkbox"/> Cash	<input type="checkbox"/> Cheque	<input type="checkbox"/> DD	<input type="checkbox"/> Pay-Order	<input type="checkbox"/> NEFT	<input type="checkbox"/> RTGS	<input type="checkbox"/> Account to Account Transfer			
Stamp Duty Paid by - Gender ( Tick)	<input type="checkbox"/> Male	<input type="checkbox"/> Female	<input type="checkbox"/> Jointly paid by Male & Female	<input type="checkbox"/> Others									
<b>Cheque / DD / Pay-Order / NEFT / RTGS / Account Details</b>				<b>Cash Deposit</b>				<b>₹</b>					
Bank Name	Branch Name	Cheque /DD /PO /UTR /REF/Account No.			Deno.	Pieces							
					1000 X								
					500 X								
					100 X								
					50 X								
					20 X								
					10 X								
					5 X								
Rupees ( in Words ) :					2 X								
					1 X								
				<b>Total</b>									

- Please submit the duly filled and signed form along with stamp duty amount at the e-Stamping counter
- Stamp Duty amount should be rounded off to the nearest Rupee
- The correctness of Article type and Stamp Duty amount cannot be confirmed at the e-Stamping counter
- Once the e-Stamp is generated no modifications/changes are possible, so carefully check the preview of the e-Stamp and only then sign the preview
- Once the e-Stamp has been generated, payment cannot be cancelled or refunded by StockHolding. For cancellation you need to get in touch with the Competent Authority at the Stamp Office appointed by the State Government
- Cancellation charges are applicable as levied by the State Government

I have read and understood the above instructions and the Information given by me in this form is true to the best of my knowledge and belief.

Name of the Party/ Representative:

Signature:

(For Office use only)

I verify that the Application Form is in order  
To be filled by **USER**

To be filled by **SUPERVISOR**

<b>SUBIN</b>		Certificate Number	<b>IN</b>	
Signature		Signature		
Stamp Certificate received by	Name:	Signature:		

### StockHolding e-Stamping

### Receipt

(To be filled in by the client)

Stamp Duty Purchased By		Stamp Duty Paid by	<input type="checkbox"/> 1st Party	<input type="checkbox"/> 2nd Party					
Stamp Duty Amount	₹	Type of Payment	<input type="checkbox"/> Cash	<input type="checkbox"/> Cheque	<input type="checkbox"/> DD	<input type="checkbox"/> Pay-Order	<input type="checkbox"/> NEFT	<input type="checkbox"/> RTGS	<input type="checkbox"/> Account to Account Transfer
Cheque/ DD/ PO/ UTR/ REF/Account No.			Date: / / 20						
Bank Name		Branch Name							
Counter Signature with Seal									

CIN : U67190MH1986PLC040506

# VOLUNTARY CLIENT UNDERTAKING AND AUTHORISATION BY CLIENT AVAILING MARGIN THROUGH PLEDGE OF SECURITIES

Sunlight Broking LLP B-50, Pravasi Industrial Estate, Goregaon (E), Mumbai - 400063

I/We hereby state, confirm and authorize as follows:

## 1. Margin Requirement

I/We understand that under the rules, regulations, circulars and guidelines issued by SEBI, the Stock Exchanges, Depositories and other regulatory authorities, I/We am/are required to maintain adequate margin for positions taken in the cash and/or derivatives segments.

## 2. Maintenance of Margin

I/We agree to maintain the required margin at all times. In case of any shortfall due to mark-to-market losses, market volatility, revision in margin requirements, or any other reason, I/We shall promptly replenish such shortfall within the time prescribed by the Broker or the relevant Exchange.

## 3. Right to Invoke Pledge

In the event of margin shortfall, default, delay in payment, or failure to fulfill any trading obligation, I/We hereby irrevocably authorize the Broker to invoke the pledged securities without providing any further notice or intimation and to transfer such securities in its name as beneficial owner and sell / dispose off and credit my account with sale proceeds / dispose off amount / redemption amount.

## 4. Right to Liquidate / Square-Off

I/We further authorize the Broker, at its discretion and without further reference to me/us, to:

- Sell or liquidate the pledged securities; and/or
- Square-off any open positions, wholly or partially, in any segment

For the purpose of recovering outstanding dues, margin shortfall, losses, charges, interest or any other amounts payable by me/us.

## 5. Action in Urgent Market Conditions

I/We understand that in volatile or exceptional market conditions requiring immediate action to limit exposure or regulatory risk, the Broker may square-off positions and sell pledged securities without prior notice. I/We shall not hold the Broker liable for any resultant loss, provided such action is taken in compliance with applicable regulations.

## 6. Recovery and Continuing Liability

I/We agree that the proceeds from invocation and/or square-off shall be appropriated towards my/our outstanding obligations. I/We shall remain liable for any shortfall remaining after such adjustment.

## 7. Validity and Binding Effect

This authorization shall be read together with the Rights and Obligations document, Risk Disclosure Document and other agreements executed by me/us. This authorization shall remain valid until revoked in writing, subject to clearance of all outstanding dues.

I/We confirm that I/we have read, understood and agreed to the contents of this undertaking and authorization.